



PATENT

#3

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Steven G. Roskowski *et al.*

APPLICATION NO.: 09/815,873

FILING DATE: March 22, 2001

TITLE: SYSTEM FOR RECEIVING A CONTROL SIGNAL FROM A DEVICE FOR
SELECTING ITS ASSOCIATED CLOCK SIGNAL FOR CONTROLLING THE
TRANSFERRING OF INFORMATION VIA A BUFFER

EXAMINER: UNKNOWN

GROUP ART UNIT: UNKNOWN

ATTY. DKT. NO.: 18602-05889 (P467USR1)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: August 13, 2001

By:

Kirk A. Gottlieb, Reg. No. 42,596

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION

SIR:

Responsive to the Notice to File Missing Parts dated May 14, 2001 received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ three (3) original, signed Reissue Application: Declarations by the Inventors;
- ☒ an original, signed Reissue Application: Consent of Assignee;
- ☒ an original, signed Certificate Under 37 CFR § 3.73(b)

- ☒ an Application Data Sheet;
- ☒ payment (2 checks) in the amount of \$1,334.00 for the
 - ☒ application filing fee;
 - ☒ fee for additional total and independent claims;
 - ☒ missing parts surcharge; and
 - ☒ fee for one-month extension of time to respond.

☐ Other

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,

STEVEN G. ROSKOWSKI *et al.*

Dated: August 13, 2001

By: 

Kirk A. Gottlieb, Reg. No. 42,596

Attorney for Applicants

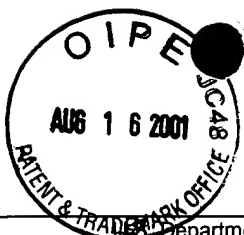
Fenwick & West LLP

Two Palo Alto Square

Palo Alto, CA 94306

Tel.: (415) 875-2477

Fax: (415) 281-1350



KIRK

Section 4/13

PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

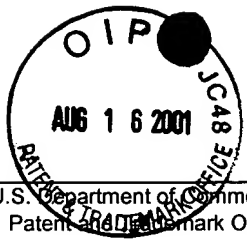
| | | | | |
|---|------------------------|---|------------------------|----------------------------|
| TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application) | 0001/PTO Rev. 10/95 | Department of Commerce Patent and Trademark Office | Application Number | 09/815,873 |
| | | | Filing Date | March 22, 2001 |
| | | | First Named Inventor | Steven G. Roskowski et al. |
| | | | Group Art Unit Number | UNKNOWN |
| | | | Examiner Name | UNKNOWN |
| Total Number of Pages in This Submission | 19 | Attorney Docket Number | 18602-05889 (P467USR1) | |

| ENCLOSURES (check all that apply) | |
|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Two (2) Checks Enclosed | <input type="checkbox"/> Issue Fee Transmittal |
| <input checked="" type="checkbox"/> Return Receipt Postcard | <input type="checkbox"/> Letter to Chief Draftsperson |
| <input checked="" type="checkbox"/> Response to Notice to File Missing Parts | <input type="checkbox"/> Formal Drawing(s): [] Sheet(s) of Figure(s) [] |
| <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Reissue Application: Declaration by the Inventor (3x) | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input checked="" type="checkbox"/> Reissue Application: Consent of Assignee | <input type="checkbox"/> Certified Copy of Priority Document(s) |
| <input checked="" type="checkbox"/> Certificate Under 3.73(b) | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Application Data Sheet | <input type="checkbox"/> |
| <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References | <input type="checkbox"/> |
| <input type="checkbox"/> Request for Correction of Recorded Assignment | <input type="checkbox"/> |
| <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final | <input type="checkbox"/> |
| <input type="checkbox"/> Status Request | <input type="checkbox"/> |
| <input type="checkbox"/> Revocation and Substitute Power of Attorney | <input type="checkbox"/> |

REMARKS:

| SIGNATURE OF ATTORNEY OR AGENT | | | |
|--------------------------------|-----------------------------------|--------|-----------------|
| Signature: | | | |
| Attorney/Reg. No.: | Kirk A. Gottlieb, Reg. No. 42,596 | Dated: | August 13, 2001 |

| CERTIFICATE OF MAILING | | | |
|--|------------------|--------|-----------------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. | | | |
| Signature: | | | |
| Typed or Printed Name: | Kirk A. Gottlieb | Dated: | August 13, 2001 |
| Express Mail Mailing Number (optional): | | | |



0002/PTO(modified)
Rev. 10/2000

U.S. Department of Commerce
Patent and Trademark Office

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 1,334.00)**

Complete if Known

| | |
|------------------------|-----------------------------------|
| Application Number | 09/815,873 |
| Filing Date | March 22, 2001 |
| First Named Inventor | Steven G. Roskowski <i>et al.</i> |
| Group Art Unit | UNKNOWN |
| Examiner Name | UNKNOWN |
| Attorney Docket Number | 18602-05889 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to:

- ☐ Charge the indicated fees to the below mentioned deposit account.
- ☒ Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account.[†]
- ☐ Applicant claims small entity status
See 37 CFR 1.27

Deposit Account Number: 19-2555
Deposit Account Name: FENWICK & WEST LLP

A Duplicate Copy of this authorization is attached

2. ☒ Payment Enclosed:

☒ Checks ☐ Credit Card ☐ Other

FEE CALCULATION (fees effective 10/01/2000)

1. FILING FEE

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--------------------|-----------------|
| 101/\$710 | 201/\$355 | Utility Filing | |
| 106/\$320 | 206/\$160 | Design Filing | |
| 108/\$710 | 208/\$355 | Reissue | 710 |
| 114/\$150 | 214/\$75 | Provisional Filing | |
| SUBTOTAL (1) | | | (\$ 710) |

2. CLAIMS

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description |
|------------------------------|------------------------------|---|
| 103/\$18 | 203/\$9 | Claims in excess of 20 |
| 102/\$80 | 202/\$40 | Independent claims in excess of 3 |
| 104/\$270 | 204/\$135 | Multiple dependent claim |
| 109/\$80 | 209/\$40 | Reissue independent claims over original patent |
| 110/\$18 | 210/\$9 | Reissue claims in excess of 20 and over original patent |

3. ADDITIONAL FEES

| Large Entity Fee Code/Fee | Small Entity Fee Code/Fee | Fee Description | Fee Due |
|------------------------------|------------------------------|--|-----------------|
| 105/\$130 | 205/\$65 | Surcharge - late filing fee or oath | 130 |
| 127/\$50 | 227/\$25 | Surcharge-late provisional filing fee or cover sheet | |
| 147/\$2,520 | 147/\$2,520 | For filing a request for reexamination | |
| 115/\$110 | 215/\$55 | Extension for response within first month [†] | 110 |
| 116/\$390 | 216/\$195 | Extension for response within second month [†] | |
| 117/\$890 | 217/\$445 | Extension for response within third month [†] | |
| 118/\$1,390 | 218/\$695 | Extension for response within fourth month [†] | |
| 128/\$1,890 | 228/\$945 | Extension for response within fifth month [†] | |
| 119/\$310 | 219/\$155 | Notice of Appeal | |
| 141/\$1,240 | 241/\$620 | Petition to revive unintentionally abandoned application | |
| 142/\$1,240 | 242/\$620 | Utility Issue Fee (Or Reissue) | |
| 143/\$440 | 243/\$220 | Design Issue Fee | |
| 122/\$130 | 122/\$130 | Petitions to the Commissioner | |
| 126/\$180 | 126/\$180 | Submission of Information Disclosure Statement | |
| 179/\$710 | 279/\$355 | Request for Continued Examination (RCE) | |
| 581/\$40 | 581/\$40 | Recording each patent assignment per property (times number of properties) | |
| 146/\$710 | 246/\$355 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149/\$710 | 249/\$355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify): | | | |
| Other fee (specify): | | | |
| SUBTOTAL (3) | | | (\$ 240) |

| (Col. 1) | | (Col. 2) | | (Col. 3) | | Fee | | Fee Due | |
|--|------------------------|----------|---------------------------------|----------|---------|-----|----|---------|-----|
| For | No. of Existing Claims | minus* | Highest No. Previously Paid For | = | Extra** | x | | = | |
| TOTAL | 30 | minus* | 20 or 22 | = | 8 | x | 18 | = | 144 |
| INDEP | 7 | minus* | 3 or 4 | = | 3 | x | 80 | = | 240 |
| [] First presentation of multiple dependent claim | | | | | | | | | |

* Subtract the greater number of Col. 2

** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

SUBTOTAL (2) **(\$ 384)**

SUBMITTED BY

Typed or Printed Name **Kirk A. Gottlieb**

Signature

Complete (if applicable)

Reg. Number **42,596**

Date

August

13, 2001

[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/815,873 | 03/22/2001 | Steven G. Roskowski | 18602-05889 |

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

RECEIVED

MAY 21 2001

FENWICK & WEST LLP

CONFIRMATION NO. 5394

FORMALITIES LETTER



OC000000006071156

Date Mailed: 05/14/2001

NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

Filing Date Granted

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$384.
 - \$144 for 8 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1224.**
- Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue application establish their ownership interest in the patent by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/20/2001 MBERHE 00000111 09815873

| | |
|-----------|-----------|
| 01 FC:101 | 710.00 OP |
| 02 FC:105 | 130.00 OP |
| 03 FC:103 | 144.00 OP |
| 04 FC:102 | 240.00 OP |